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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/885,297	06/19/2001	Lonnie O'Neal Ingram	BCI-024CP

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109

CONFIRMATION NO. 2679

## FORMALITIES LETTER



\*OC000000006353638\*

# 3

Date Mailed: 07/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1724.
 

■ \$909 for 101 total claims over 20.	02 FC:201	370.00 OP
■ \$680 for 17 independent claims over 3.	03 FC:202	714.00 OP
■ \$135 for multiple dependent claim surcharge.	04 FC:203	909.00 OP
	05 FC:204	140.00 OP
	06 FC:205	65.00 OP
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2144.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600

- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Lonnie O. Ingram *et al.*

Serial No.: 09/885,297

Filed: June 19, 2001

For: *Methods and Compositions for Simultaneous  
Saccharification and Fermentation*

Attorney Docket No.: BCI-024CP

Group Art Unit: 1645

Examiner: Not Assigned

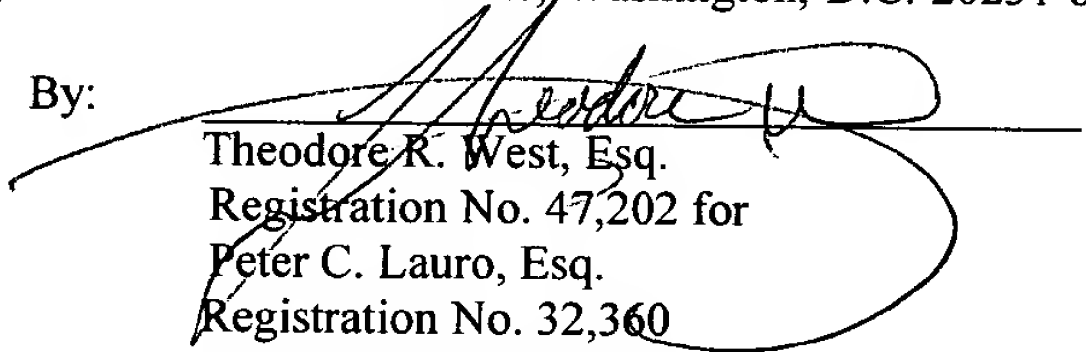
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Washington, D.C. 20231

Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

Feb 27, 2002  
Date of Signature and of Mail Deposit

By:

  
Theodore R. West, Esq.  
Registration No. 47,202 for  
Peter C. Lauro, Esq.  
Registration No. 32,360  
Attorney for Applicants

RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION

Dear Sir:

Responsive to the Notice to File Missing Parts of Nonprovisional Application dated July 27, 2001, Applicants' attorney submits herewith an *executed* Declaration and Power of Attorney and a Request for a Five-Month Extension of Time for the above-identified patent application. Enclosed is a check in the amount of \$980.00 which is for the Five-Month Extension of Time Fee. Also enclosed is in the amount of \$2,198.00 which includes \$370.00 (filing fee), \$65.00 (surcharge), \$909.00 (additional claim fee), \$140.00 (multiple dependent claim fee), and

**\$714.00** (independent claim fee) based on small entity status. A copy of the Notice to File Missing Parts of Nonprovisional Application is also enclosed.

In accordance with 37 C.F.R. § 1.825, Applicant submits herewith substitute pages 1-19 which contain a substitute Sequence Listing for the above-referenced application.

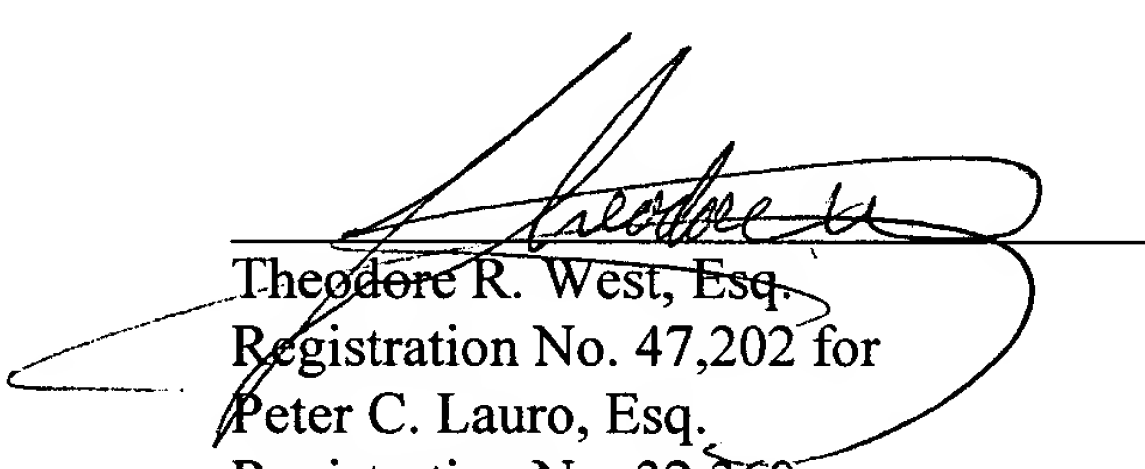
In addition, Applicant submits concurrently herewith a computer-readable form (diskette) of the substitute Sequence Listing which is identical in substance to the paper copy of the substitute Sequence Listing on substitute pages 1-19 submitted herewith. *No new matter has been added.*

The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. 1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate of this letter is enclosed.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

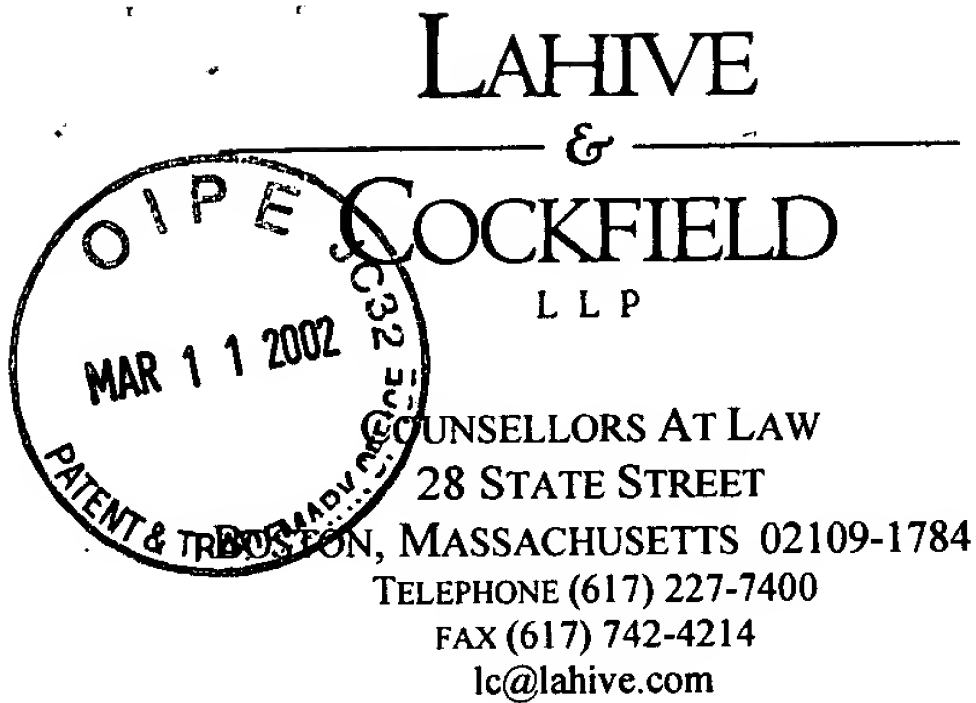


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Dated: February 27, 2002

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\* Admitted in NY only  
\*\* Admitted in TX only

February 27, 2002

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Patent Application Serial No. 09/885,297  
Title: *"Methods and Compositions for Simultaneous  
Saccharification and Fermentation"*  
Applicants: Lonnie O. Ingram *et al.*  
Filing Date: June 19, 2001  
Attorney Docket No. BCI-024CP

Dear Sir:

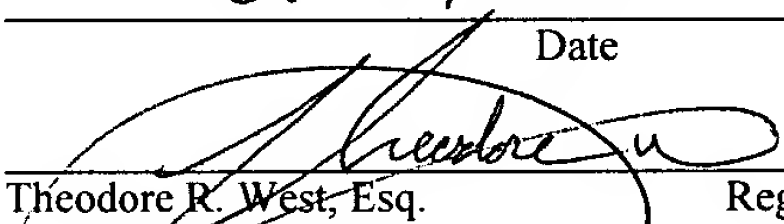
I enclose herewith for filing in the above-identified application the following:

1. Response to Notice to File Missing Parts of Nonprovisional Application (*in duplicate*, 2 pages);
2. Copy of Notice to File Missing Parts of Nonprovisional Application (2 pages);
3. *Executed* Declaration and Power of Attorney (5 pages);
4. Request for Five-Month Extension of Time (*in duplicate*, 1 page);
5. Check in the amount of \$980.00 for Two-Month Extension of Time Fee;
6. Check in the amount of \$2,198.00 (includes \$370.00 (filing fee), \$65.00 (surcharge), \$909.00 (additional claim fee), \$140.00 (multiple dependent claim fee), and \$714.00 (independent claim fee));
7. Transmittal Letter for Diskette Containing Substitute Sequence Listing (1 page);
8. Diskette containing Substitute Sequence Listing;

BOX MISSING PARTS  
Commissioner for Patents  
February 27, 2002  
Page 2

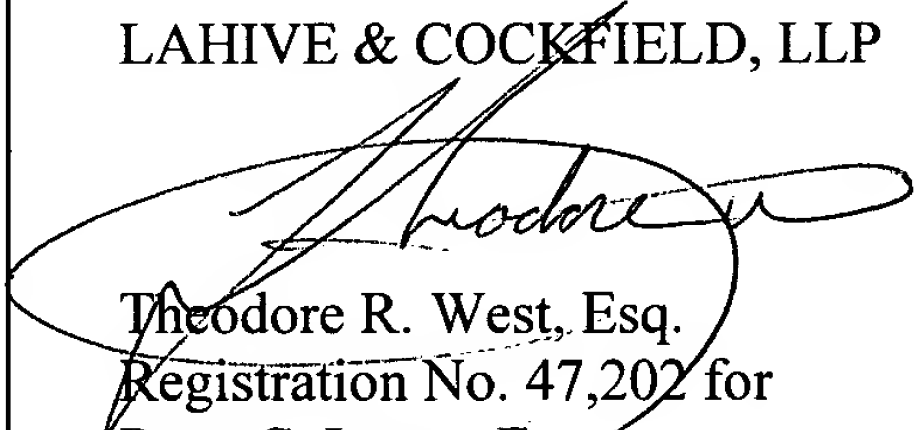
9. Hard (paper) copy of Substitute Sequence Listing (pages 1-19); and
10. Pre-paid acknowledgment postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. *A duplicate of this sheet is enclosed.*

<b>Certificate of First Class Mailing (37 CFR 1.8(a))</b>	
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Feb 27, 2002	
Date	
	
Theodore R. West, Esq.	Reg. No. 47,202

Respectfully submitted,

LAHIVE & COCKFIELD, LLP



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